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| APPLICATION NO.                              | FILING DATE    | FIRST NAMED INVENTOR        | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|--|----------------|-----------------------------|------------------------|------------------|
| 10/706,424                                   | 11/12/2003     | Richard H. Ffrench-Constant | 62,878A                | 5813             |
| 25212 7                                      | 590 07/13/2005 | EXAMINER                    |                        | INER             |
| DOW AGROSCIENCES LLC                         |                |                             | KUBELIK, ANNE R        |                  |
| 9330 ZIONSVILLE RD<br>INDIANAPOLIS, IN 46268 |                |                             | ART UNIT               | PAPER NUMBER     |
|  |                |                             | 1638                   |                  |
|  |                |                             | DATE MAILED: 07/13/200 | 5                |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.      | Applicant(s)            |  |  |  |  |
|--|----------------------|-------------------------|--|--|--|--|
|  | 10/706,424           | FFRENCH-CONSTANT ET AL. |  |  |  |  |
| Office Action Summary  | Examiner             | Art Unit                |  |  |  |  |
|  | Anne R. Kubelik      | 1638                    |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |                      |                         |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |                      |                         |  |  |  |  |
| Status   |                      |                         |  |  |  |  |
| 1) Responsive to communication(s) filed on   |                      |                         |  |  |  |  |
| , == .   | action is non-final. | ·                       |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |                      |                         |  |  |  |  |
| Disposition of Claims  |                      |                         |  |  |  |  |
| 4)  Claim(s) 1-14 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5)  Claim(s) is/are allowed.  6)  Claim(s) is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) 1-14 are subject to restriction and/or election requirement.   |                      |                         |  |  |  |  |
| Application Papers   |                      |                         |  |  |  |  |
| 9) The specification is objected to by the Examine   |                      | _                       |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.  |                      |                         |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |                      |                         |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |                      |                         |  |  |  |  |
| Priority under 35 U.S.C. § 119   |                      |                         |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |                      |                         |  |  |  |  |
| Attachment(s)  |                      |                         |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date.  |                      |                         |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152) Control of the Information Disclosure Statement (s) (PTO-1449 or PTO/SB/08)  6) Other:  |                      |                         |  |  |  |  |

Application/Control Number: 10/706,424

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## Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

I. Claims 1-11, drawn to a nucleic acid of SEQ ID NO:4, and cells, plants and seeds comprising the nucleic acid, classified in class 800, subclass 302.

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- II. Claims 1-11, drawn to a nucleic acid of SEQ ID NO:6, and cells, plants and seeds comprising the nucleic acid n, classified in class 800, subclass 302.
- III. Claims 1-11, drawn to a nucleic acid of SEQ ID NO:8, and cells, plants and seeds comprising the nucleic acid, classified in class 800, subclass 302.
- IV. Claims 1-14, drawn to a nucleic acid of SEQ ID NO:10, cells, plants and seeds comprising the nucleic acid, and a method of using it to produce toxin, classified in class 800, subclass 302.
- V. Claims 1-12, drawn to a nucleic acid of SEQ ID NO:12, cells, plants and seeds comprising the nucleic acid, and a method of using it to produce toxin, classified in class 800, subclass 302.
- VI. Claims 1-12, drawn to a nucleic acid of SEQ ID NO:14, cells, plants and seeds comprising the nucleic acid, and a method of using it to produce toxin, classified in class 800, subclass 302.
- VII. Claims 1-12, drawn to a nucleic acid of SEQ ID NO:16, cells, plants and seeds comprising the nucleic acid, and a method of using it to produce toxin, classified in class 800, subclass 302.

The inventions are distinct, each from the other because of the following reasons:

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Groups I-VII are independent and distinct. Nucleotide sequences encoding different proteins are structurally distinct chemical compounds that are unrelated to one another. These sequences are thus deemed to normally constitute independent and distinct inventions within the meaning of 35 U.S.C. 121. Each sequence requires an independent search of the sequence databases. Absent evidence to the contrary, each such nucleotide sequence is presumed to represent an independent and distinct invention, subject to a restriction requirement pursuant to 35 U.S.C. 121 and 37 CFR 1.141 et seq (see MPEP 803.04 and 2434). This requirement is not to be construed as a requirement for an election of species, since each nucleotide sequence is not a member of single genus of invention, but constitutes an independent and patentably distinct invention.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, fields of search, and classification, restriction for examination purposes as indicated is proper.

Applicant is advised that for the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anne R. Kubelik, whose telephone number is (571) 272-0801. The examiner can normally be reached Monday through Friday, 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amy Nelson, can be reached at (571) 272-0804. The central fax number for official correspondence is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

Anne R. Kubelik, Ph.D. July 6, 2005

ANNE KUBELIK, PH.D. PRIMARY EXAMINER